

Purpose:

The purpose of these weekly annotation assignments is to encourage you to actively engage with the course readings. Annotating involves marking up and interacting with the text, which helps you to:

- **Comprehend the material more deeply:** Identify key concepts, track arguments, and make connections.
- **Retain information better:** The act of writing and interacting with the text strengthens your memory.
- **Think critically:** Question, analyze, and evaluate the author's ideas.
- **Prepare for class discussions and assignments:** Your annotations will serve as a valuable study tool.

Instructions:

1. **Each week, select ONE of the two assigned readings.**
2. **Read the selected text carefully and thoughtfully.**
3. **Annotate by hand or digitally.**
 - **You will either print off the readings and handwrite your notes on the printed copy OR you will digitally mark up the PDF (using something like Adobe, Word, or Google Docs). Whichever method you choose, your annotated notes must contain the following if you wish to earn full points:**
 - i. Use different colored highlighters to pick out key terms, definitions, main ideas, supporting details, or examples. On the first page of the reading explain what each color represents.
 - ii. Underlining important sentences or phrases.
 - iii. Writing notes in the margins: questions, comments, connections to other readings, or personal reflections.
 - iv. Developing a system of symbols or codes to mark recurring themes, arguments, or literary devices.
4. **If you handwrite your notes, then you will upload pictures of them to Canvas.** Make sure the pictures are clear and legible.
5. **If you digitally make your notes, then save them as a PDF and upload them to Canvas.**
6. The due date for each week's annotation assignment is **11:59 PM on the Sunday after we have discussed the readings.** The due dates are also posted on each submission section on Canvas.

Grading:

Your annotations will be graded on the quality of your engagement with the text. I will be looking for evidence that you are actively reading, thinking critically, and making connections.

Tips:

- **Be selective in your highlighting and underlining.** Don't mark up everything; focus on the most important parts of the text.
- **Use your own words in your notes.** This will help you to process the information and make it your own.
- **Ask questions and make connections.** Don't be afraid to challenge the author's ideas or connect them to other things you've learned.
- **Review your annotations regularly.** This will help you to solidify your understanding of the material.

Example Submission:

- : important info
- : my thought
- : word that I don't know

3

Moral Luck

Kant believed that good or bad luck should influence neither our moral judgment of a person and his actions, nor his moral assessment of himself.

The good will is not good because of what it effects or accomplishes or because of its adequacy to achieve some proposed end; **it is good only because of its willing**, i.e., it is good of itself. And, regarded for itself, it is to be **esteemed** incomparably higher than anything which could be brought about by it in favor of any inclination or even of the sum total of all inclinations. Even if it should happen that, by a particularly unfortunate fate or by the niggardly provision of a stepmotherly nature, this will should be wholly lacking in power to accomplish its purpose, and if even the greatest effort should **not avail** it to achieve anything of its end, and if there remained ^{of little or no use} only the good will (not as a mere wish but as the summoning of all the means in our power), it would sparkle like a jewel in its own right, as something that had its full worth in itself. Usefulness or **fruitlessness** can neither diminish nor augment this worth.¹ _{↳ producing no useful results}

Great respect
and approval;
a good opinion of
sb's

He would presumably have said the same about a bad will: whether it accomplishes its evil purposes is morally irrelevant. And a course of action that would be condemned if it had a bad outcome cannot be **vindicated** if by luck it turns out well. **There cannot be moral risk**. This view seems to be wrong, but it arises _{↳ Prove that sth is true or that you were right to do sth}

¹ *Foundations of the Metaphysics of Morals*, first section, third paragraph.

Moral luck

25

in response to a fundamental problem about moral responsibility to which we possess no satisfactory solution.

The problem develops out of the ordinary conditions of moral judgment. Prior to reflection it is intuitively plausible that people cannot be morally assessed for what is not their fault, or for what is due to factors beyond their control. Such judgment is different from the evaluation of something as a good or bad thing, or state of affairs. The latter may be present in addition to moral judgment, but when we blame someone for his actions we are not merely saying it is bad that they happened, or bad that he exists: we are judging *him*, saying he is bad, which is different from his being a bad thing. This kind of judgment takes only a certain kind of object. Without being able to explain exactly why, we feel that the appropriateness of moral assessment is easily undermined by the discovery that the act or attribute, **no matter how good or bad, is not under the person's control.**

While other evaluations remain, this one seems to lose its footing. So a clear absence of control, produced by involuntary movement, physical force, or ignorance of the circumstances, excuses what is done from moral judgment. **But** what we do depends in many more ways than these on what is not under our control – what is not produced by a good or a bad will, in Kant's phrase. And external influences in this broader range are not usually thought to excuse what is done from moral judgment, positive or negative.

the basis on which sth is established or organized

Let me give a few examples, beginning with the type of case Kant has in mind. Whether we succeed or fail in what we try to do nearly always depends to some extent on factors beyond our control. This is true of murder, altruism, revolution, the sacrifice of certain interests for the sake of others – almost any morally important act. What has been done, and what is morally judged, is partly determined by external factors. However jewel-like the good will may be in its own right, there is a morally significant difference between rescuing someone from a burning building and dropping him from a twelfth-storey window while trying to rescue him. Similarly, there is a morally significant difference between reckless driving and manslaughter. But whether a reckless driver hits a pedestrian/depends on/the presence of the pedestrian at the point where he recklessly passes a red light. **What we do is also limited by the opportunities and choices with**

which we are faced, and these are largely determined by factors beyond our control. Someone/who was an officer in a concentration camp/might have led a quiet and harmless life if the Nazis had never come to power in Germany. And someone/who led a quiet and harmless life in Argentina might have become an officer in a concentration camp if he had not left Germany for business reasons in 1930.

I shall say more later about these and other examples. I introduce them here to illustrate a general point. Where a significant aspect of what someone does/depends on factors beyond his control, ~~yet~~ we continue to treat him in that respect as an object of moral judgment, it can be called moral luck. Such luck can be good or bad. And the problem posed by this phenomenon, which led Kant to deny its possibility, is that the broad range of external influences here identified seems on close examination to undermine moral assessment as surely as does the narrower range of familiar excusing conditions. If the condition of control is consistently applied, it threatens to erode most of the moral assessments we find it natural to make. The things for which people are morally judged/are determined in more ways than we at first realize by what is beyond their control. And when the seemingly natural requirement of fault or responsibility is applied in light of these facts, it leaves few pre-reflective moral judgments intact. Ultimately, **nothing or almost nothing about what a person does seems to be under his control.**

Why not conclude, then, that the condition of control is false – that it is an initially plausible hypothesis refuted by clear counter-examples? One could in that case look instead for a more refined condition which picked out the *kinds* of lack of control that really undermine certain moral judgments, without yielding the unacceptable conclusion derived from the broader condition, that most or all ordinary moral judgments are **illegitimate.** → born to parents who are not married to each other

What rules out this escape is that we are dealing not with a theoretical conjecture but with a philosophical problem. The condition of control does not suggest itself merely as a generalization from certain clear cases. It seems *correct* in the further cases to which it is extended beyond the original set. When we undermine moral assessment by considering new ways in which

Moral luck

27

control is absent, we are not just discovering what *would* follow given the general hypothesis, but are actually being persuaded that in itself the absence of control is relevant in these cases too. The erosion of moral judgment emerges not as the absurd consequence of an over-simple theory, but as a natural consequence of the ordinary idea of moral assessment, when it is applied in view of a more complete and precise account of the facts. It would therefore be a mistake to argue from the unacceptability of the conclusions to the need for a different account of the conditions of moral responsibility. **The view that moral luck is paradoxical is not a mistake, ethical or logical, but a perception of one of the ways in which the intuitively acceptable conditions of moral judgment threaten to undermine it all.**

It resembles the situation in another area of philosophy, the theory of knowledge. There too conditions which seem perfectly natural, and which grow out of the ordinary procedures for challenging and defending claims to knowledge, threaten to undermine all such claims if consistently applied. Most skeptical arguments have this quality: they do not depend on the imposition of **arbitrarily** → in a way that does not seem to be based on a reason, system or plan and sometimes seems unfair stringent standards of knowledge, arrived at by misunderstanding, but appear to grow inevitably from the consistent application of ordinary standards.² There is a substantive parallel as well, for epistemological skepticism arises from consideration of the respects in which our beliefs and their relation to reality depend on factors beyond our control. **External and internal causes produce our beliefs.** We may subject these processes to scrutiny in an effort to avoid error, but our conclusions at this next level also result, in part, from influences which we do not control directly. The same will be true no matter how far we carry the investigation. Our beliefs are always, ultimately, due to factors outside our control, and the impossibility of encompassing those factors without being at the mercy of others leads us to doubt whether we know anything. It looks as though, if any of our beliefs are true, it is pure biological luck rather than knowledge.

Moral luck is like this because while there are various respects in which the natural objects of moral assessment are out of our control or influenced by what is out of our control, we cannot

² See Thompson Clark, 'The Legacy of Skepticism', *Journal of Philosophy*, LXIX, no. 20 (November 9, 1972), 754–69.

reflect on these facts without losing our grip on the judgments.

There are roughly **four ways** in which the natural objects of moral assessment are disturbingly subject to luck. One is the phenomenon of **constitutive luck** – the kind of person you are, where this is not just a question of what you deliberately do, but of your inclinations, capacities, and temperament. Another category is **luck in one's circumstances** – the kind of problems and situations one faces. The other two have to do with the **causes and effects of action**: luck in how one is determined by antecedent circumstances, and luck in the way one's actions and projects turn out. All of them present a common problem. They are all opposed by the idea that one cannot be more culpable or estimable for anything than one is for that fraction of it which is under one's control. It seems irrational to take or dispense credit or blame for matters over which a person has no control, or for their influence on results over which he has partial control. Such things may create the conditions for action, but action can be judged only to the extent that it goes beyond these conditions and does not just result from them.

Let us first consider luck, good and bad, in the way things turn out. Kant, in the above-quoted passage, has one example of this in mind, but the category covers a wide range. It includes the truck driver who accidentally runs over a child, the artist who abandons his wife and five children to devote himself to painting,³ and other cases in which the possibilities of success and failure are even greater. The driver, if he is entirely without fault, will feel terrible about his role in the event, but will not

example situation

³ Such a case, modelled on the life of Gauguin, is discussed by Bernard Williams in 'Moral Luck' *Proceedings of the Aristotelian Society*, supplementary vol. 1 (1976), 115–35 (to which the original version of this essay was a reply). He points out that though success or failure cannot be predicted in advance, Gauguin's most basic retrospective feelings about the decision will be determined by the development of his talent. My disagreement with Williams is that his account fails to explain why such retrospective attitudes can be called moral. If success does not permit Gauguin to justify himself to others, but still determines his most basic feelings, that shows only that his most basic feelings need not be moral. It does not show that morality is subject to luck. If the retrospective judgment were moral, it would imply the truth of a hypothetical judgment made in advance, of the form 'If I leave my family and become a great painter, I will be justified by success; if I don't become a great painter, the act will be unforgivable.'

Moral luck

29

have to reproach himself. Therefore this example of agent-regret⁴ is not yet a case of *moral* bad luck. However, if the driver was guilty of even a minor degree of negligence – failing to have his brakes checked recently, for example – then if that **negligence** contributes to the death of the child, he will not merely feel terrible. He will blame himself for the death. And what makes this an example of moral luck is that he would have to blame himself only slightly for the negligence itself if no situation arose which required him to brake suddenly and violently to avoid hitting a child. Yet the *negligence* is the same in both cases, and the driver has no control over whether a child will run into his path.

The same is true at higher levels of negligence. If someone has had too much to drink and his car swerves on to the sidewalk, he can count himself morally lucky if there are no pedestrians in its path. If there were, he would be to blame for their deaths, and would probably be **prosecuted** for manslaughter. But if he hurts no one, although his recklessness is exactly the same, he is guilty of a far less serious legal offence and will certainly reproach himself and be reproached by others much less severely. To take another legal example, the penalty for attempted murder is less than that for successful murder – however similar the intentions and motives of the assailant may be in the two cases. His degree of **culpability** can depend, it would seem, on whether the victim happened to be wearing a bullet-proof vest, or whether a bird flew into the path of the bullet – matters beyond his control.

Finally, there are cases of decision under uncertainty – common in public and in private life. Anna Karenina goes off with Vronsky, Gauguin leaves his family, Chamberlain signs the Munich agreement, the Decembrists persuade the troops under their command to revolt against the czar, the American colonies declare their independence from Britain, you introduce two people in an attempt at match-making. It is tempting in all such cases to feel that some decision must be possible, in the light of what is known at the time, which will make **reproach** unsuitable no matter how things turn out. But this is not true; when someone acts in such ways he takes his life, or his moral position, into his hands, because how things turn out determines

⁴ Williams' term (*ibid.*).

blame or
criticism for sth
you have done

→ the failure
to give sbd /
sth enough
care or
attention

to officially charge sbd
with a crime in court

→ the fact of being responsible and deserving blame for having done sth wrong

what he has done. It is possible *also* to assess the decision from the point of view of what could be known at the time, but this is not the end of the story. If the Decembrists had succeeded in overthrowing Nicholas I in 1825 and establishing a constitutional regime, they would be heroes. As it is, not only did they fail and pay for it, but they bore some responsibility for the terrible punishments meted out to the troops who had been persuaded to follow them. If the American Revolution had been a bloody failure resulting in greater repression, then Jefferson, Franklin and Washington would still have made a noble attempt, and might not even have regretted it on their way to the scaffold, but they would also have had to blame themselves for what they had helped to bring on their compatriots. (Perhaps peaceful efforts at reform would eventually have succeeded.) If Hitler had not overrun Europe and exterminated millions, but instead had died of a heart attack after occupying the Sudetenland, Chamberlain's action at Munich would still have utterly betrayed the Czechs, but it would not be the great moral disaster that has made his name a household word.⁵

In many cases of difficult choice the outcome cannot be foreseen with certainty. One kind of assessment of the choice is possible in advance, but another kind must await the outcome, because the outcome determines what has been done. The same degree of culpability or estimability in intention, motive, or concern is compatible with a wide range of judgments, positive or negative, depending on what happened beyond the point of decision. The *mens rea* which could have existed in the absence of any consequences does not exhaust the grounds of moral judgment. Actual results influence culpability or esteem in a large class of unquestionably ethical cases ranging from negligence through political choice.

That these are genuine moral judgments rather than expressions of temporary attitude is evident from the fact that one can say *in advance* how the moral verdict will depend on the results. If one negligently leaves the bath running with the baby in it, one will realize, as one bounds up the stairs toward the bath-

⁵ For a fascinating but morally repellent discussion of the topic of justification by history, see Maurice Merleau-Ponty, *Humanisme et Terreur* (Paris: Gallimard, 1947), translated as *Humanism and Terror* (Boston: Beacon Press, 1969).

an official judgement
made in court or at
an inquest

Moral luck

31

room, that if the baby has drowned one has done something awful, whereas if it has not one has merely been careless. Someone who launches a violent revolution against an authoritarian regime knows that if he fails he will be responsible for much suffering that is in vain, but if he succeeds he will be justified by the outcome. I do not mean that *any* action can be retroactively justified by history. Certain things are so bad in themselves, or so risky, that no results can make them all right. Nevertheless, when moral judgment does depend on the outcome, it is objective and timeless and not dependent on a change of standpoint produced by success or failure. The judgment after the fact follows from an hypothetical judgment that can be made beforehand, and it can be made as easily by someone else as by the agent.

From the point of view which makes responsibility dependent on control, all this seems absurd. How is it possible to be more or less culpable depending on whether a child gets into the path of one's car, or a bird into the path of one's bullet? Perhaps it is true that what is done depends on more than the agent's state of mind or intention. The problem then is, why is it not irrational to base moral assessment on what people do, in this broad sense? It amounts to holding them responsible for the contributions of fate as well as for their own – provided they have made some contribution to begin with. If we look at cases of negligence or attempt, the pattern seems to be that overall culpability corresponds to the product of mental or intentional fault and the seriousness of the outcome. Cases of decision under uncertainty are less easily explained in this way, for it seems that the overall judgment can even shift from positive to negative depending on the outcome. **But here too it seems rational to subtract the effects of occurrences subsequent to the choice, that were merely possible at the time, and concentrate moral assessment on the actual decision in light of the probabilities.** If the object of moral judgment is the *person*, then to hold him accountable for what he has done in the broader sense is akin to strict liability, which may have its legal uses but seems irrational as a moral position.

The result of such a line of thought is to pare down each act to its morally essential core, an inner act of pure will assessed by motive and intention. Adam Smith advocates such a position in *The Theory of Moral Sentiments*, but notes that it runs contrary to

our actual judgments.

But how well soever we may seem to be persuaded of the truth of this equitable maxim, when we consider it after this manner, in abstract, yet when we come to particular cases, the actual consequences which happen to proceed from any action, have a very great effect upon our sentiments concerning its merit or demerit, and almost always either enhance or diminish our sense of both. Scarce, in any one instance, perhaps, will our sentiments be found, after examination, to be entirely regulated by this rule, which we all acknowledge ought entirely to regulate them.⁶

Joel Feinberg points out further that restricting the domain of moral responsibility to the inner world will not immunize it to luck. Factors beyond the agent's control, like a coughing fit, can interfere with his decisions as surely as they can with the path of a bullet from his gun.⁷ Nevertheless the tendency to cut down the scope of moral assessment is pervasive, and does not limit itself to the influence of effects. It attempts to isolate the will from the other direction, so to speak, by separating out constitutive luck. Let us consider that next.

Kant was particularly insistent on the moral irrelevance of qualities of temperament and personality that are not under the control of the will. Such qualities as sympathy or coldness might provide the background against which obedience to moral requirements is more or less difficult, but they could not be objects of moral assessment themselves, and might well interfere with confident assessment of its proper object – the determination of the will by the motive of duty. This rules out moral judgment of many of the virtues and vices, which are states of character that influence choice but are certainly not exhausted by dispositions to act deliberately in certain ways. A person may be greedy, envious, cowardly, cold, ungenerous, unkind, vain, or conceited, but *behave* perfectly by a monumental effort of will. To possess these vices is to be unable to help having certain feelings under certain circumstances, and to have strong spon-

⁶ Pt II, sect. 3, Introduction, para. 5.

⁷ 'Problematic Responsibility in Law and Morals', in Joel Feinberg, *Doing and Deserving* (Princeton: Princeton University Press, 1970).

Moral luck

33

taneous impulses to act badly. Even if one controls the impulses, one still has the vice. An envious person hates the greater success of others. He can be morally condemned as envious even if he congratulates them cordially and does nothing to denigrate or spoil their success. Conceit, likewise, need not be displayed. It is fully present in someone who cannot help dwelling with secret satisfaction on the superiority of his own achievements, talents, beauty, intelligence, or virtue. To some extent such a quality may be the product of earlier choices; to some extent it may be amenable to change by current actions. But it is largely a matter of constitutive bad fortune. Yet people are morally condemned for such qualities, and esteemed for others equally beyond control of the will: they are assessed for what they are *like*.

To Kant this seems incoherent because virtue is enjoined on everyone and therefore must in principle be possible for everyone. It may be easier for some than for others, but it must be possible to achieve it by making the right choices, against whatever temperamental background.⁸ One may want to have a generous spirit, or regret not having one, but it makes no sense to condemn oneself or anyone else for a quality which is not within the control of the will. **Condemnation** ^{an expression of very strong} implies that you should not be like that, not that it is unfortunate that you are.

Nevertheless, Kant's conclusion remains intuitively unacceptable. We may be persuaded that these moral judgments are irrational, but they reappear involuntarily as soon as the argument is over. This is the pattern throughout the subject.

The third category to consider is luck in one's circumstances, and I shall mention it briefly. The things we are called upon to do, the moral tests we face, are importantly determined by factors beyond our control. It may be true of someone that in a dangerous situation he would behave in a cowardly or heroic fashion, but if the situation never arises, he will never have the

⁸ 'if nature has put little sympathy in the heart of a man, and if he, though an honest man, is by temperament cold and indifferent to the sufferings of others, perhaps because he is provided with special gifts of patience and fortitude and expects or even requires that others should have the same – and such a man would certainly not be the meanest product of nature – would not he find in himself a source from which to give himself a far higher worth than he could have got by having a good-natured temperament?' (*Foundations of the Metaphysics of Morals*, first section, eleventh paragraph).

chance to distinguish or disgrace himself in this way, and his moral record will be different.⁹

A conspicuous example of this is political. Ordinary citizens of Nazi Germany had an opportunity to behave heroically by opposing the regime. They also had an opportunity to behave badly, and most of them are culpable for having failed this test. But it is a test to which the citizens of other countries were not subjected, with the result that even if they, or some of them, would have behaved as badly as the Germans in like circumstances, they simply did not and therefore are not similarly culpable. Here again one is morally at the mercy of fate, and it may seem irrational upon reflection, but our ordinary moral attitudes would be unrecognizable without it. We judge people for what they actually do or fail to do, not just for what they would have done if circumstances had been different.¹⁰

This form of moral determination by the actual is also paradoxical, but we can begin to see how deep in the concept of responsibility the paradox is embedded. A person can be morally responsible only for what he does; but what he does results from a great deal that he does not do; therefore he is not morally responsible for what he is and is not responsible for. (This is not a contradiction, but it is a paradox.)

⁹ Cf. Thomas Gray, 'Elegy Written in a Country Churchyard':

Some mute inglorious Milton here may rest,
Some Cromwell, guiltless of his country's blood.

An unusual example of circumstantial moral luck is provided by the kind of moral dilemma with which someone can be faced through no fault of his own, but which leaves him with nothing to do which is not wrong. See chapter 5; and Bernard Williams, 'Ethical Consistency', *Proceedings of the Aristotelian Society*, supplementary vol. xxxix (1965), reprinted in *Problems of the Self* (Cambridge: Cambridge University Press, 1973), pp. 166–86.

¹⁰ Circumstantial luck can extend to aspects of the situation other than individual behavior. For example, during the Vietnam War even U.S. citizens who had opposed their country's actions vigorously from the start often felt compromised by its crimes. Here they were not even responsible; there was probably nothing they could do to stop what was happening, so the feeling of being implicated may seem unintelligible. But it is nearly impossible to view the crimes of one's own country in the same way that one views the crimes of another country, no matter how equal one's lack of power to stop them in the two cases. One is a citizen of one of them, and has a connexion with its actions (even if only through taxes that cannot be withheld) – that one does not have with the other's. This makes it possible to be ashamed of one's country, and to feel a victim of moral bad luck that one was an American in the 1960s.

Moral luck

35

It should be obvious that there is a connection between these problems about responsibility and control and an even more familiar problem, that of freedom of the will. That is the last type of moral luck I want to take up, though I can do no more within the scope of this essay than indicate its connection with the other types.

If one cannot be responsible for consequences of one's acts due to factors beyond one's control, or for antecedents of one's acts that are properties of temperament not subject to one's will, or for the circumstances that pose one's moral choices, then how can one be responsible even for the stripped-down acts of the will itself, if *they* are the product of antecedent circumstances outside of the will's control?

The area of genuine agency, and therefore of legitimate moral judgment, seems to shrink under this **scrutiny** to an extensionless point. Everything seems to result from the combined influence of factors, antecedent and posterior to action, that are not within the agent's control. Since he cannot be responsible for them, he cannot be responsible for their results – though it may remain possible to take up the aesthetic or other evaluative analogues of the moral attitudes that are thus displaced.

It is also possible, of course, to brazen it out and refuse to accept the results, which indeed seem unacceptable as soon as we stop thinking about the arguments. Admittedly, if certain surrounding circumstances had been different, then no unfortunate consequences would have followed from a wicked intention, and no seriously culpable act would have been performed; but since the circumstances were *not* different, and the agent *in fact* succeeded in perpetrating a particularly cruel murder, *that* is what he did, and that is what he is responsible for. Similarly, we may admit that if certain antecedent circumstances had been different, the agent would never have developed into the sort of person who would do such a thing; but since he *did* develop (as the inevitable result of those antecedent circumstances) into the sort of swine he is, and into the person who committed such a murder, *that* is what he is blameable for. In both cases one is responsible for what one actually does – even if what one actually does depends in important ways on what is not within one's control. This compatibilist account of our moral judgments would leave room for the ordinary conditions of respon-

Careful and complex examination

sibility – the absence of coercion, ignorance, or involuntary movement – as part of the determination of what someone has done – but it is understood not to exclude the influence of a great deal that he has not done.¹¹

The only thing wrong with this solution is its failure to explain how skeptical problems arise. For they arise not from the imposition of an arbitrary external requirement, but from the nature of moral judgment itself. Something in the ordinary idea of what someone does must explain how it can seem necessary to subtract from it anything that merely happens – even though the ultimate consequence of such subtraction is that nothing remains. And something in the ordinary idea of knowledge must explain why it seems to be undermined by any influences on belief not within the control of the subject – so that knowledge seems impossible without an impossible foundation in autonomous reason. But let us leave **epistemology** aside and concentrate on action, character, and moral assessment. → the part of philosophy that deals with knowledge

The problem arises, I believe, because the self which acts and is the object of moral judgment is threatened with dissolution by the absorption of its acts and impulses into the class of events. Moral judgment of a person is judgment not of what happens to him, but of him. It does not say merely that a certain event or state of affairs is fortunate or unfortunate or even terrible. It is not an evaluation of a state of the world, or of an individual as part of the world. We are not thinking just that it would be better if he were different, or did not exist, or had not done some of the things he has done. We are judging *him*, rather than his existence or characteristics. **The effect of concentrating on the influence of what is not under his control is to make this responsible self seem to disappear, swallowed up by the order of mere events.**

What, however, do we have in mind that a person must *be* to be the object of these moral attitudes? While the concept of agency is easily undermined, it is very difficult to give it a

¹¹ The corresponding position in epistemology would be that knowledge consists of true beliefs formed in certain ways, and that it does not require all aspects of the process to be under the knower's control, actually or potentially. Both the correctness of these beliefs and the process by which they are arrived at would therefore be importantly subject to luck. The Nobel Prize is not awarded to people who turn out to be wrong, no matter how brilliant their reasoning.

Moral luck

37

positive characterization. That is familiar from the literature on Free Will.

I believe that in a sense the problem has no solution, because something in the idea of agency is incompatible with actions being events, or people being things. But as the external determinants of what someone has done are gradually exposed, in their effect on consequences, character, and choice itself, it becomes gradually clear that actions are events and people things. Eventually nothing remains which can be ascribed to the responsible self, and we are left with nothing but a portion of the larger sequence of events, which can be deplored or celebrated, but not blamed or praised.

Though I cannot define the idea of the active self that is thus undermined, it is possible to say something about its sources. There is a close connexion between our feelings about ourselves and our feelings about others. Guilt and indignation, shame and contempt, pride and admiration are internal and external sides of the same moral attitudes. We are unable to view ourselves simply as portions of the world, and from inside we have a rough idea of the boundary between what is us and what is not, what we do and what happens to us, what is our personality and what is an accidental handicap. We apply the same essentially internal conception of the self to others. About ourselves we feel pride, shame, guilt, remorse – and agent-regret. We do not regard our actions and our characters merely as fortunate or unfortunate episodes – though they may also be that. We cannot simply take an external evaluative view of ourselves – of what we most essentially are and what we do. And this remains true even when we have seen that we are not responsible for our own existence, or our nature, or the choices we have to make, or the circumstances that give our acts the consequences they have. Those acts remain ours and we remain ourselves, despite the persuasiveness of the reasons that seem to argue us out of existence.

It is this internal view that we extend to others in moral judgment – when we judge *them* rather than their desirability or utility. We extend to others the refusal to limit ourselves to external evaluation, and we accord to them selves like our own. But in both cases this comes up against the brutal inclusion of humans and everything about them in a world from which they

cannot be separated and of which they are nothing but contents. The external view forces itself on us at the same time that we resist it. One way this occurs is through the gradual erosion of what we do by the subtraction of what happens.¹²

The inclusion of consequences in the conception of what we have done is an acknowledgment that we are parts of the world, but the paradoxical character of moral luck which emerges from this acknowledgment shows that we are unable to operate with such a view, for it leaves us with no one to be. The same thing is revealed in the appearance that determinism obliterates responsibility. Once we see an aspect of what we or someone else does as something that happens, we lose our grip on the idea that it has been done and that we can judge the doer and not just the happening. **This explains why the absence of determinism is no more hospitable to the concept of agency than is its presence – a point that has been noticed often.** Either way the act is viewed externally, as part of the course of events.

The problem of moral luck cannot be understood without an account of the internal conception of agency and its special connection with the moral attitudes as opposed to other types of value. I do not have such an account. The degree to which the problem has a solution can be determined only by seeing whether in some degree the incompatibility between this conception and the various ways in which we do not control what we do is only apparent. I have nothing to offer on that topic either. But it is not enough to say merely that our basic moral attitudes toward ourselves and others are determined by what is actual; for they are also threatened by the sources of that actuality, and by the external view of action which forces itself on us when we see how everything we do belongs to a world that we have not created.

¹² See P. F. Strawson's discussion of the conflict between the objective attitude and personal reactive attitudes in 'Freedom and Resentment', *Proceedings of the British Academy*, 1962, reprinted in *Studies in the Philosophy of Thought and Action*, ed. P. F. Strawson (London: Oxford University Press, 1968), and in P. F. Strawson, *Freedom and Resentment and Other Essays* (London: Methuen, 1974).